

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No.

SP01-347

Total Pages

2

First Named Inventor or Application Identifier | House, Keith L.

Title : PLASMA CHEMICAL VAPOR DEPOSITION METHODS AND APPARATUS

Express Mail Label No.

EL689101295US

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

**CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

on December 21, 2001

(Date)

Signature

**ADDRESS TO:**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

"EXPRESS MAIL" Mailing Label No EL689101295US

1. ☒ \* Fee Transmittal Form  
(Submit an original and a duplicate for fee processing)

2. ☒ Specification [Total Pages 25]  
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description

3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 8]

4. ☐ Oath or Declaration [Total Pages 2]

5. ☐ Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

- 7. ☒ Assignment Papers (cover sheet & document(s))
- 8. ☒ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
- 9. ☐ English Translation Document (if applicable)
- 10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 11. ☐ Preliminary Amendment
- 12. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
- 14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 15. ☐ Other:

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional. ☐ Continuation-in-part (CIP) of prior application No

Prior application information: Examiner: Group / Art Unit:

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label

22928

or ☐ Correspondence address below

NAME Timothy R. Krogh

ADDRESS Corning Incorporated, SP-TI-3-1

CITY Corning

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ZIP CODE

14831

COUNTRY

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TELEPHONE

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Registration No. (Attorney/Agent)

40,688

Signature

Date

December 21, 2001

# FEE TRANSMITTAL for FY 2001

**Complete if Known**

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	House, Keith
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket Number	SP01-347

**TOTAL AMOUNT OF PAYMENT (\$1,032.00)**

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-3325

Deposit Account Name Corning Incorporated

- ☒ Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
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101	740	Utility filing fee	740.00
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106	330	Design filing fee	
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107	510	Plant filing fee	
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108	740	Reissue filing fee	
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114	160	Provisional filing fee	
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**SUBTOTAL (1) (\$740.00)**

**2. EXTRA CLAIM FEES**

	Large Entity Fee Code	Extra Claims	Fee from below	Fee Paid
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Total Claims	43	- 20** = 23	x 18 =	414.00
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Independent Claims	6	- 3** = 3	x 84 =	252.00
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Multiple Dependent		0	=	0.00
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\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Fee (\$)	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim, if not paid
109	84	** Reissue independent claims over original patent
110	18	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$992.00)**

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Large Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
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105	130	Surcharge - late filing fee or oath	
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127	50	Surcharge - late provisional filing fee or cover sheet	
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139	130	Non-English specification	
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147	2,520	For filing a request for reexamination	
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112	920*	Requesting publication of SIR prior to Examiner action	
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113	1,840	Requesting publication of SIR after Examiner action	
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115	110	Extension for reply within first month	
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116	390	Extension for reply within second month	
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117	890	Extension for reply within third month	
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118	1,390	Extension for reply within fourth month	
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128	1,890	Extension for reply within fifth month	
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119	310	Notice of Appeal	
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120	310	Filing a brief in support of an appeal	
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121	270	Request for oral hearing	
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138	1,510	Petition to institute a public use proceeding	
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140	110	Petition to revive - unavoidable	
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141	1,240	Petition to revive - unintentional	
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142	1,240	Utility issue fee (or reissue)	
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143	440	Design issue fee	
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144	600	Plant issue fee	
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122	130	Petitions to the Commissioner	
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123	50	Petitions related to provisional applications	
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126	240	Submission of Information Disclosure Stmt	
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581	40	Recording each patent assignment per property (times number of properties) <u>1</u> x 40	
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146	710	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
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149	710	For each additional invention to be examined (37 C.F.R. § 1.129(b))	
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Other fee (specify) \_\_\_\_\_


Other fee (specify) \_\_\_\_\_

**\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00**

**SUBMITTED BY**

**Completed (if applicable)**

Name (Print/Type)	Timothy R. Krogh	Registration No. (Attorney/Agent)	40,688
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Signature		Date	December 21, 2001
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